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#21  
DIA  
10/1/03  
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: Fallaux et al.

Patent No.: 6,265,212 B1

Serial No.: 09/356,575

Issued: July 24, 2001

For: PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

Attorney Docket No.: 2578-3935US

## NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 348041838 US

Date of Deposit with USPS: July 8, 2003

Person making Deposit: Matthew Wooton

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OFFICE OF PETITIONS

PETITION FOR CORRECTION OF INVENTORSHIP IN PATENT  
PURSUANT TO 35 U.S.C. 256 (37 C.F.R. § 1.324)Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Both the Inventors and the Assignee hereby petition to alter the inventorship of U.S.

Patent 6,265,212 B1.

It is noted that errors made by Applicants appear in this patent and correction thereof does not involve such changes in the patent as would constitute new matter or would require reexamination. A certificate of correction in the form attached hereto is requested.

Correction is requested to delete the name of Govert Schouten as an inventor because he is not an inventor of the invention herein.

The inventorship error occurred without deceptive intent (see attached Statement of Govert Schouten).

The originally named inventors agree to the change of inventorship (see attached Statements of Frits J. Fallaux, Robert C. Hoebe, Abraham Bout, Domenico Valerio, Alex J. van der Eb and Govert Schouten).

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The Assignee agrees to the change in inventorship (see attached Assent of Assignee). Included herein, as proof of ownership in the Assignee, is a copy of an executed assignment to IntroGene B.V. for application serial number 09/356,575 recorded January 4, 2000 at Reel/Frame 010213/0712. It is from this application that the present patent issued. Also included is a copy of a filing regarding the change in name of the Assignee from IntroGene B.V. to Crucell Holland B.V. Thus, the assignment of application serial number 09/356,575 to IntroGene B.V. establishes ownership of U.S. Patent 6,265,212 B1 in Crucell Holland B.V.

Please send the Certificate to:

Name: Allen C. Turner  
Address: TraskBritt  
P.O. Box 2550  
Salt Lake City, Utah 84110

The fee of \$130.00 as required by 37 C.F.R. § 1.20(b) is enclosed.

Attached hereto in duplicate is Form PTO/SB/44 with at least one copy being suitable for printing.

Respectfully submitted,



Allen C. Turner  
Registration No. 33,041  
Attorney for Applicants  
TRASKBRITT  
P.O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: 801-532-1922

Date: July 8, 2003  
ACT/jml

Enclosures: PTO/SB/44 in duplicate  
Check No. 4638 in the amount of \$130.00  
Statements of Govert Schouten, Frits J. Fallaux, Robert C. Hoebe, Abraham Bout,  
Domenico Valerio and Alex J. van der Eb  
Assent of Assignee  
Copy of filing establishing Crucell Holland B.V. as the assignee of U.S. Patent  
6,265,212 B1 and application serial number 09/356,575

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO : 6,265,212 B1

Page 1 of 1

DATED : July 24, 2001

INVENTOR(S) : Frits J. Fallaux, Robert C. Hoeben, Abraham Bout,  
Domenico Valerio, and Alex J. van der Eb

It is certified that errors appear in the above-identified patent and that said Letters  
Patent are hereby corrected as shown below:

**On the title page:**

In item (75) Inventors, line 5:

delete "Govert Schouten, Leiden"

MAILING ADDRESS OF SENDER:

PATENT NO. 6,265,212 B1

Allen C. Turner  
230 South 500 East, Suite 300  
Salt Lake City, Utah 84102 USA

No. of additional copies

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case.  
Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and  
Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant  
Commissioner for Patents, Washington, DC 20231.



#14  
JCC  
10/11/03

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

**Issued:** July 24, 2001

**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Attorney Docket No.:** 2578-3935US

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**ASSENT OF ASSIGNEE TO DELETION OF INVENTOR**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned assignee of the entire interest in application Patent No. 6,265,212 hereby assents to the correction of inventorship filed herewith.

Pursuant to 37 C.F.R. ' 3.73, the undersigned representative of the Assignee has reviewed the evidentiary documents, specifically the Assignment to Introgene, B.V. recorded on January 4, 2000, Reel/Frame: 010521/0712, number of pages: 3, and the Change of Name from Introgene B.V. to Crucell Holland B.V., currently at the Assignment Division of the United States Patent and Trademark Office waiting to be recorded, and certifies that to the best of his knowledge and belief, title remains in the name of Crucell Holland B.V.

**Patent No. 6,265,212**

The undersigned further avers that he is empowered to make and sign the foregoing certification on behalf of the Assignee, and to take the action set forth herein on behalf of the Assignee, pursuant to a resolution of its Board of Directors.

CRUCCELL HOLLAND B.V.

Dated: 17 June 2003

By: 

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

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**STATEMENT OF GOVERT SCHOUTEN**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

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**JUL 16 2003**

**TECH CENTER 1600/2900**

Sir:

I, GOVERT SCHOUTEN, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Maria van Hongarijelaan 27, 2353 EM Leiderdorp, The Netherlands.
2. That I was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I agree to the change of inventorship.
5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so

**Patent No. 6,265,212**

made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 18<sup>th</sup> day of June, 2003.

  
GOVERT SCHOUTEN

Document in ProLaw



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

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**STATEMENT OF FRITS J. FALLAUX**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, FRITS J. FALLAUX, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Bernard Haitinkstraat 5, 2353 KK Leiderdorp, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.



5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 7<sup>th</sup> day of june, 2003.

  
FRITS J. FALLAUX



#20  
Dec  
10/1/03

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

**Issued:** July 24, 2001

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Person making Deposit: Matthew Wooton

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**STATEMENT OF ROBERT C. HOEBEN**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, ROBERT C. HOEBEN, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Gerbrandylaan 43, 2314 EX Leiden, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.


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5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 12 day of June, 2003.

  
\_\_\_\_\_  
ROBERT C. HOEBEN

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

**Issued:** July 24, 2001

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**STATEMENT OF ABRAHAM BOUT**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, ABRAHAM BOUT, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Van Swaanswijkstraat 38, 2751 XL Moerkapelle, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 05 day of June, 2003.



ABRAHAM BOUT

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212

**Issued:** July 24, 2001

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**STATEMENT OF DOMENICO VALERIO**

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, DOMENICO VALERIO, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Rhijngeesterstraatweg 11, 2342 AN Oegstgeest, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.

5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 17<sup>th</sup> day of June, 2003.

  
DOMENICO VALERIO

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent of:

Fallaux et al.

Patent No.: 6,265,212

Issued: July 24, 2001

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Person making Deposit: Matthew Wooton

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STATEMENT OF ALEX J. VAN DER EB

Commissioner for Patents  
Certificates of Corrections Branch  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

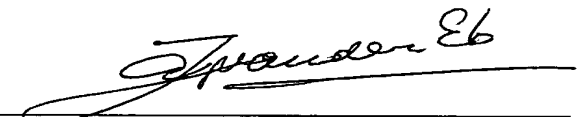
I, ALEX J. VAN DER EB, hereby declare as follows:

1. That I am a citizen of The Netherlands that my residential and mailing address is Prinses Beatrixlaan 53, 2341 TW Oegstgeest, The Netherlands.
2. That Govert Schouten was erroneously named as a co-inventor of the subject matter which is described and claimed in United States Patent No. 6,265,212 filed July 24, 2001.
3. That the inventorship error occurred without deceptive intent.
4. That I have no disagreement in regard to the requested change of inventorship.



5. I declare further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the reissue application referred to herein, or any reissued patent issuing thereon.

DATED this 12 day of June, 2003.

  
\_\_\_\_\_  
ALEX J. VAN DER EB

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent of:**

Fallaux et al.

**Patent No.:** 6,265,212 B1

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**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Attorney Docket No.:** 2578-3935US

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

February 24, 2003  
Date

Signature

Jayna M. Lofgran  
Name (Type/Print)

**CHANGE OF NAME OF ASSIGNEE**

**Box ASSIGNMENTS**  
Commissioner of Patents & Trademarks  
Washington, D.C. 20231

1. Particulars of Assignment. An assignment has been recorded in the Patent and Trademark Office for this invention on January 4, 2000, Reel/Frame 010521/0712, Number of Pages: 3. A copy of the recorded Assignment is attached.
2. Old Name of Assignee. The old name of the assignee shown on the above assignment is Introgene B.V.
3. New Name of Assignee. The new name of the assignee is **Crucell Holland B.V.**
4. Proof of Change of Name. Proof of change of name is established by the attached Statement About Articles of Association indicating a name change from the following authority: Robert Jan Jozef Lijdsman, civil law notary in Amsterdam.